**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Raffaele Zambrano

:

For

METHOD OF FORMING A CONTACT STRUCTURE

AND A FERROELECTRIC MEMORY DEVICE

Docket No.

: 856063.720D1

Date

July 8, 2003

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

## Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the 33 references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/998,602, filed November 16, 2001. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Applicant also wishes to disclose and have officially considered the following co-pending related applications by the same assignee: Application No. 09/710,066, filed November 9, 2000, entitled PROCESS FOR SELECTIVELY SEALING FERROELECTRIC **CAPACITIVE INCORPORATED ELEMENTS** IN SEMICONDUCTOR INTEGRATED NON-VOLATILE MEMORY CELLS; Application No. 10/033,508, filed December 28, 2001, entitled CONTACT STRUCTURE FOR SEMICONDUCTOR DEVICES AND CORRESPONDING MANUFACTURING PROCESS: and Application No. 10/126,936, filed April 18, 2002, entitled CONTACT STRUCTURE FOR AN INTEGRATED SEMICONDUCTOR DEVICE. As to any reference supplied, applicant does not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserves the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed

relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicant believes this Information Disclosure Statement has been timely filed, however, the Commissioner is authorized to charge any fee due by way of this Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,

Raffaele Zambrano

Seed Intellectual Property Law Group PLLC

Robert Iannucci

Registration No. 33,514

RXI:asl

Enclosures:

Postcard Form PTO-1449

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Sheet 1 of 3

FORM PTO-1449 (REV.7-80)			DEPARTMENT OF ENT AND TRADEM		856063.720D1	AP	PLICATION NO.		
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INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)					Raffaele Zambrano FILING DATE GROUP ART UNIT				
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			U.S.	PATENT	DOCUMENTS				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	12/95 Takenaka 02/96 Watanabe et al. 26/96 Argos, Jr. et al.		CLASS	SUBCLASS		G DATE OPRIATE
	AA	5,475,248	12/12/95			257	295		
	AB	5,481,490	01/02/96			365	145		
	AC	5,578,867	11/26/96			257	632		
	AD	5,638,319	06/10/97			365	145		· ·
	AE	5,716,875	02/10/98	Jones, Jr.	et al.	438	3		
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	AG	5,811,847	09/22/98	Joshi et a	1.	257	296		
	АН	5,864,153	01/26/99	Nagel et	al.	257	296		
	AI	5,956,594	09/21/99	Yang et a	1.	438	396		
	AJ	5,965,942	12/12/99	Itoh et al.		257	761		
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DOCUMENT DATE				COUNTRY				TRANS	LATION NO
	AK	0793274A1	09/03/97	EP				Х	
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		·			g Author, Title, Date, Pertinent um FRAM Device T		with Full Co	ompati	bility
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*EXAMINER INITIAL					NAME	CLA	SS SUBCLASS		G DATE OPRIATE	
	AA	5,973,342	10/26/99	Nakamura		257	295			
	AB	5,981,382	11/09/99	Konecni et al.		438	646			
	AC	5,990,507	11/23/99	Mochizuki et al.		257	295			
	AD	5,994,153	11/30/99	Nagel et al.		438	3			
	AE	5,998,296	12/07/99	Saran et al.		438	685			
	AF	6,037,252	03/14/00	Hillman e	t al.	438	637			
	AG	6,043,529	03/28/00	Hartner et al.		257	306			
	AH	6,051,858	04/18/00	Uchida et al.		257	295			
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EXPRESS MAIL NO. EV336598884US Sheet 3 of 3U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. APPLICATION NO. FORM PTO-1449 PATENT AND TRADEMARK OFFICE (REV.7-80) 856063.720D1 APPLICANT Raffaele Zambrano INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) FILING DATE GROUP ART UNIT July 8, 2003 **U.S. PATENT DOCUMENTS** FILING DATE \*EXAMINER DOCUMENT NUMBER CLASS SUBCLASS DATE NAME IF APPROPRIATE INITIAL 09/19/00 438 254 Matsuki 6,121,083 AA 257 306 6,180,974 01/30/01 Okutoh et al. AB 438 660 02/27/01 Nakajima AC 6,194,311 6,197,631 Ishihara 438 240 03/06/01 ΑD Kuroiwa et al. 257 300 ΑE 6,239,460 05/29/01 6,281,537 08/28/01 Kim 257 295 AF 257 761 6,313,539 11/06/01 Yokoyama et al. AG AΗ ΑI ΑJ **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT COUNTRY DATE NUMBER YES NO ΑK ΑL AM AN ΑO OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) ΑP AQ

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in

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